

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/034,620	12/27/2001	James W. Overbeck	3319.3 (02US2)	9519
557.15	590 03/06/200 ECTUAL PATENT O	EXAMINER		
AFFYMETRIX,	INC.	NGUYEN, THONG Q		
3420 CENTRAL SANTA CLARA	LEXPRESSWAY	•	ART UNIT	PAPER NUMBER
or in the obline	1, 011 > 5 0 5 1	*	2872	
SHORTENED STATUTORY	PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE	
3 MONTHS		03/06/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

		Application No.	Applicant(s)			
Office Action Summary		10/034,620	OVERBECK, JAMES W.			
		Examiner	Art Unit			
		Thong Q. Nguyen	2872			
	The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1)⊠	Responsive to communication(s) filed on 16 Fe	ebruary 2007.				
2a)⊠	This action is FINAL . 2b) ☐ This	action is non-final.				
3)	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Dispositi	on of Claims					
4)⊠	Claim(s) 62-81 and 91-97 is/are pending in the	application.	•			
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)🛛	6)⊠ Claim(s) <u>62-81 and 91-97</u> is/are rejected.					
7)	Claim(s) is/are objected to.					
8)□	Claim(s) are subject to restriction and/or	r election requirement.				
Application Papers						
9)	The specification is objected to by the Examine	r.				
10)	The drawing(s) filed on is/are: a) acce	epted or b) \square objected to by the \square	Examiner.			
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority u	under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:						
1. Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the priority documents have been received in this National Stage						
application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s) 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413)						
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date						
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 5) Notice of Informal Patent Application 6) Other:						

Application/Control Number: 10/034,620

Art Unit: 2872

DETAILED ACTION

Response to Amendment

1. The present Office action is made in response to the communication and the Terminal disclaimer filed on 2/16/2007. It is noted that applicant has not made any change to the drawings, the specification and the claims.

Double Patenting

2. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Application/Control Number: 10/034,620

Art Unit: 2872

3. Claims 62-81 and 91-97 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-35 of U.S. Patent No. 6,201,639 in view of Alexay et al, Essay titled "Fluorescence scanner employing a Micro scanning Objective" (of record).

The device as claimed in claims 1-35 of the Patent '639 discloses a wide field of view scanner having a scanning mechanism supporting an objective lens and a mechanism for driving the scanning assembly. The features related to a driver, the detector in the form of a position element, light source, light detector, translation system for producing movement of the object and data processing system...as recited in present claims are disclosed as can be seen in Patent claims. The features related to the mirrors of the scanning assembly as claimed are discloses in the Patent claims 2 and 7, and the constant of the light path is disclosed in the Patent claim 2. The focusing of the system as recited in present claims is disclosed in the Patent claim 23. The only feature missing from the device of the mentioned Patent claims is that they do not clearly state that the light reflected from the object and received by the detector system is fluorescent light. However, the use of a scanning microscope having an illuminating system for providing light to excite a sample having fluorescent agents and a detecting system for receiving fluorescent light emitted from the sample is known to one skilled in the art as can be seen in the scanner provided by Alexay et al. For instance, in page 63, Alexay et al disclose a scanner for reviewing a reviewing a DNA sample supported by a microscope slide, in pages 64-65, Alexay et al

Application/Control Number: 10/034,620

Art Unit: 2872

disclose a scanner having an illuminating system having light source, excitation filter, dichroic beam splitter for providing light to excite the DNA sample, and the light emitted from the sample passed through the dichroic beam splitter and barrier filter is received by a detecting system. See also figure 1. Thus, it would have been obvious to one skilled in the art at the time the invention was made to utilize the scanning microscope as provided in Patent claims 1-35 to view and detect light from a DNA sample as suggested by Alexay et al for the purpose of observing/analyzing biological sample contained fluorescent agents.

Response to Arguments

4. In response to the rejection of claims 62-81 and 91-97 under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-35 of U.S. Patent No. 6,201,639 in view of Alexay et al, Essay titled "Fluorescence scanner employing a Micro scanning Objective", applicant has filed a Terminal Disclaimer. However, the Terminal Disclaimer has not been approved by the Office because there is a confliction in the ownerships between the U.S. Patent No. 6,201,639 and the present application. In other words, the ownerships between the Patent and the present application are not the same.

Conclusion

5. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within

Application/Control Number: 10/034,620 Page 5

Art Unit: 2872

TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thong Q. Nguyen whose telephone number is (571) 272-2316. The examiner can normally be reached on M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephone B. Allen can be reached on (571) 272-2434. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Application/Control Number: 10/034,620 Page 6

Art Unit: 2872

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Thong Q Nguyen Primary Examiner

Art Unit 2872